P. ENT COOPERATION TREA (1)

PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2)	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE
Date of mailing: 21 October 1999 (21.10.99)	in its capacity as elected Office
International application No.: PCT/GB98/03071	Applicant's or agent's file reference: PBA/D088026WO
International filing date: 14 October 1998 (14.10.98)	Priority date: 16 October 1997 (16.10.97)
Applicant: CLARKE, David, John et al	
1. The designated Office is hereby notified of its election made X in the demand filed with the International preliminary 22 March 1999 in a notice effecting later election filed with the International preliminary 22 March 1999 in a notice effecting later election filed with the International preliminary 22 March 1999 in a notice effecting later election filed with the International preliminary 22 March 1999 was not wa	Examining Authority on: (22.03.99) Pational Bureau on:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

PATENT COOPERATION TREAT

		From the INTERNATIONAL BUREAU				
PCT		To:				
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 20 April 2000 (20.04.00)			ATKINSON, Peter, Birch Marks & Clerk Sussex House 83-85 Mosley Street Manchester M2 3LG ROYAUME-UNI			
Applicant's or agent's file reference PBA/D088026WO				PORTANT NO	TIFICATION	
International application No. PCT/GB98/03071				g date (day/month/ r 1998 (14.10.98	• •	
The following indications appeared on record concerning X the applicant X the inventor	g:	the ager	it .	the comm	non representative	
Name and Address			State	of Nationality	State of Residence	
				hone No.		
			racsin	nile No.		
			Telepr	inter No.		
2. The International Bureau hereby notifies the applicant th	at the	following	change	has been recorded	concerning:	
the person the name the	addre	ess		nationality	the residence	
Name and Address			State o	of Nationality R	State of Residence GB	
AOJULA, Harmesh, Singh Old Dutch Barn Doctor Lane		,		none No.	1 0	
Scouthead, Saddleworth Oldham OL4 4AD			Facsimile No.			
United Kingdom						
			Telepr	inter No.		
3. Further observations, if necessary: Additional applicant/inventor for the purpose	s of t	the US.				
4. A copy of this notification has been sent to:						
X the receiving Office			the	designated Offices	concerned	
the International Searching Authority			X the	elected Offices cor	ncerned	
the International Preliminary Examining Authority			oth	er:		
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	A	uthorized	officer	Aino Metcal	fe	
Facsimile No.: (41-22) 740.14.35		elenhone l	No · //1	-22) 338 83 38		



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PBA/D088026W0			of International Search Report s, where applicable, item 5 below.
International application No.	International filing date (day/mo	onth/year) (Earliest) f	Priority Date (day/month/year)
PCT/GB 98/03071	14/10/1998		16/10/1997
Applicant			
THE VICTORIA UNIVERSITY OF	MANCHESTER et al.		
This International Search Report has been according to Article 18. A copy is being train			ransmitted to the applicant
This International Search Report consists of X It is also accompanied by a copy		sheets. in this report.	
Certain claims were found uns	earchable(see Box I).		
2. Unity of invention is lacking(se	ee Box II).		·
3. X The international application con international search was carried			ence listing and the
χ filed	with the international application		
furnis	shed by the applicant separately	from the international appl	ication,
L	but not accompanied by a simatter going beyond the dis		
Tran	scribed by this Authority		· ·
4. With regard to the title, X the te	ext is approved as submitted by	the applicant	
, L	ext has been established by this		3:
5. With regard to the abstract,			
	ext is approved as submitted by		A. Atautha site and the analysis
Box	ext has been established, accord III. The applicant may, within one ch Report, submit comments to	e month from the date of ma	
6. The figure of the drawings to be published	shed with the abstract is:		
Figure No. 3 x as su	uggested by the applicant.		None of the figures.
	use the applicant failed to sugge	•	
beca	use this figure better characteriz	es the invention.	

	INTERNATIONAL SEARCH REPORT F	
		PC Application No
, 		PCT 98/03071
A. CLASS	A61K9/127 G01N33/569 G01N33/58	
According t	o International Patent Classification (IPC) or to both national classification and IPC	
9. FIELDS	SEARCHED	
Minimum de IPC 6	ocumentation searched (classification system followed by classification symbols) A61K G01N	
1.00	7.6.2.1.	
Documenta	tion searched other than minimum documentation to the extent that such documents are include	ded in the fields searched
Electronic c	iata base consulted curing the international search (name of data base and, where practical,	search terms used)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Р,Х	WO 98 16240 A (THE LIPOSOME COMPANY, INC.) 23 April 1998	1-4,11, 17,19, 22,23,
		25,27,
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^	13 February 1990	1 31
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Α	EP 0 393 707 A (OTSUKA PHARMACEUTICAL CO.,	1-31
	LTD.) 24 October 1990 see abstract	
	_/	
X Furt	her documents are listed in the continuation of box C. X Patent family m	nembers are listed in annex.
° Special ca		shed after the international filing date
		not in conflict with the application but the principle or theory underlying the
"E" earlier of filling of	document but published on or after the international "X" document of particulate.	ar relevance; the claimed invention ed novel or cannot be considered to
which	ant which may throw doubts on priority claim(s) or involve an inventive is cited to establish the publication date of another "Y" document of naticular inventions."	e step when the document is taken alone ar relevance; the claimed invention
	ror other special reason (as specified) cannot be consider	ed to involve an inventive step when the ned with one or more other such docu-

	
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention
 "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
25 January 1999	01/02/1999
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Griffith, G

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Interr Application No PCT/30 98/03071

		PC1/-BB 98/030/1				
	(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT					
Category ³	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.			
A	US 4 885 172 A (M. B. BALLY ET AL.) 5 December 1989 see the whole document		1-31			
A	WO 96 40060 A (PRESIDENT AND FELLOWS OF HARVARD COLLEGE) 19 December 1996 see abstract		1-31			
A	US 5 525 232 A (J. A. VEIRO ET AL.) 11 June 1996					
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गर	D)	PCT	

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

• •		t's file reference	FOR FURTHER ACTION	See Notific	ation of Transmittal of International y Examination Report (Form PCT/IPEA/416)
PBA/D088					
nternational	• •		International filing date (day/mo	onth/year)	Priority date (day/month/year) 16/10/1997
PCT/GB9			14/10/1998		16/10/1997
nternational		t Classification (IPC) or na	ational classification and IPC		
Applicant	rori.	A UNIVERSITY OF M	MANCHESTER et al.		
and is	terna trans	tional preliminary exam mitted to the applicant	nination report has been prepa according to Article 36.	ared by this Int	ernational Preliminary Examining Authority
2. This F	EPO	RT consists of a total o	f 6 sheets, including this cove	er sheet.	
be (s	een a ee Ru	mended and are the ba	isis for this report and/or shee 607 of the Administrative Instr	ts containing r	on, claims and/or drawings which have ectifications made before this Authority the PCT).
	_		lating to the following items:		
 		Basis of the report Priority			
111			opinion with regard to novelty	, inventive ste	o and industrial applicability
1V		Lack of unity of invent			
V		Reasoned statement		d to novelty, inv it	ventive step or industrial applicability;
VI		Certain documents c	ited		
VII	×	Certain defects in the	international application		
VIII	Ø	Certain observations	on the international applicatio	n	
Date of sul	omissio	on of the demand	Da	te of completion	of this report
22/03/19	99				2 0. 01. 00
	exam	g address of the internation ining authority: opean Patent Office	nal Au	thorized officer	Super BORS Milera
<i>)</i>	D-8	0298 Munich +49 89 2399 - 0 Tx: 5236		nchliffe, P	To A Service S
Fax: +49 89 2399 - 4465 Telephone No. +49 89 2399 8431					89 2399 8431

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB98/03071

I.	Basis	of th	r por	t
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1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

	the report since they do not contain amendments.):						
	Des	cription, pages:					
	1-46	3	as originally filed				
	Clai	ms, No.:					
	1-41		as received on	12/11/1999	with letter of	05/11/1999	
	Dra	wings, sheets:					
	1/3-	3/3	as originally filed				
2.	The	amendments hav	e resulted in the cancellation of:				
		the description,	pages:				
		the claims,	Nos.:				
		the drawings,	sheets:				
3.		This report has be considered to go	een established as if (some of) t beyond the disclosure as filed (he amendme Rule 70.2(c)):	nts had not been made	∍, since they hav	been
4.	Add	litional observation	ns, if necessary:				

- V. Reasoned statement under Articl 35(2) with regard to novelty, inv ntiv st p or industrial applicability; citations and explanations supporting such stat ment
- 1. Statement

Novelty (N)

Yes:

Claims 1-41

No:

Claims

Inventive step (IS)

Yes: Claims 1-41

No: Claims

Industrial applicability (IA)

Yes:

Claims 1-16,23-41

No:

Claims 17-22

2. Citations and explanations

see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether th claims are fully supported by the description, are made:

see separate sheet

SECTION V

- i) The priority documents of the present application were not available at the time that this report was written. Consequently the document cited as P'X' in the I.S.R. may become relevant to the question of novelty of some or all of the claims at a later stage of the procedure.
- 1. The claims (1-41) fulfill the requirements of Articles 33(2) and 33(3) PCT because they include the feature that the lipid vesicles contain a cytolytic peptide that controls the liposomes permeability in response to a metabolic signal. Such a feature provides novelty over the closest prior art D6 (as cited in the ISR) which discloses liposomes in which the permeability is controlled by a pH dependent lipid rather than a permeability inducing cytolytic peptide (as currently claimed). Furthermore the use of a modulator of permeability peptide which responds to a metabolic signal from the targeted cell is not shown in any of the prior art documents cited in the ISR. Consequently the subject matter of the claims also involves an inventive step.
- 2. Claims 17-22 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

SECTION VII

1. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D6 and D7 are not mentioned in the description, nor are these documents identified therein.

SECTION VIII

1. The only subject matter supported by the three examples is the detection/destruction of bacteria using a modified liposome/erythrocyte that is pH sensitive (thanks to a pH sensitive lipid). It is emphasised that the

method is only shown to work with bacterial cells. No actual results in vivo or with other cell types (e.g. eukaryotic) are shown and consequently these aspects of the invention fail to find support in the application, contrary to the requirements of Art.6 PCT.

In more detail:

- The claimed methods: arguments along the lines of " the common i). application of general knowledge" can not accepted when a claim is directed towards a highly complicated issue such as treatment of cancer. An in vitro lysis of a bacterial cell (either in the presence or absence of erythrocytes (ex. 3)) cannot be extrapolated to an in vivo system. The common general knowledge of a skilled person is more likely to be pessimistic about the application of such methods for in vivo treatment of disease. Consequently the claimed methods of treatment are not supported contrary to the requirements of Art.6 PCT.
- Cytolytic peptides that respond to a predetermined metabolic signal: Insofar ii). as the skilled person would know that the GALA cytolytic peptide exemplified is related to HELP, KALA and LAGA, the references to these cytolytic peptides, in claims 7,8,26,27, are fully supported by the description. However the generic reference to cytolytic peptides is not fully supported because the skilled person would first of all have to detect a metabolic signal produced by the cell to be targeted and then find a peptide which would increase the permeability of a liposome in response to this signal. Such a task is considered to be beyond what could be expected of the skilled person.
 - In addition the references to Amphotericin, Alamethicin..... (see claims 9,28) cannot be regarded as being fully supported by the description either because they are not shown to react to a metabolic signal produced by a target cell by increasing their permeabilisation of a liposome.
- Types of cell treated: The only cells targeted in the examples are bacterial iii). cells in ex vivo (i.e. outside of a living organism) food and water samples. Such cells are quite different to, for example, cancer cells. The skilled person would, in the opinion of the examiner, have an undue burden placed

upon her/him in order to adapt the particles to identify/destroy other cell types. Furthermore the conditions in which the cells are found are also quite different; bacterial contamination of food or water provides a totally different environment to that of, for example, a cell found making up an organ. Consequently all of the types of cell treated are not supported contrary to the requirements of Art.6 PCT.

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A61K 9/127, G01N 33/569, 33/58

(11) International Publication Number:

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(43) International Publication Date:

29 April 1999 (29.04.99)

(21) International Application Number:

PCT/GB98/03071

A1

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14 October 1998 (14.10.98)

(30) Priority Data:

9721901.8

16 October 1997 (16.10.97)

GB

(71) Applicant (for all designated States except US): THE VICTO-RIA UNIVERSITY OF MANCHESTER [GB/GB]; Oxford Road, Manchester M13 9PL (GB).

(72) Inventors; and

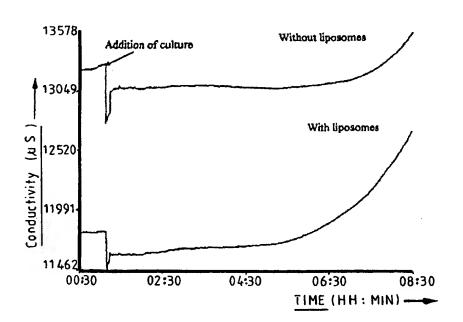
- (75) Inventors/Applicants (for US only): CLARKE, David, John [GB/GB]; 6 Fields Drive, Sandbach, Cheshire EW11 1YB (GB). HARRISON, Michael, Henry [GB/GB]; 12 South Marlow Street, Hadfield, Hyde SK14 8AL (GB).
- (74) Agent: ATKINSON, Peter, Birch; Marks & Clerk, Sussex House, 83–85 Mosley Street, Manchester M2 3LG (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: PARTICLES



(57) Abstract

Lipid vesicle particles capable of being targeted to a cell type of interest, said particle incorporating a peptide which is responsive to a predetermined metabolic signal from the targeted cell so as to modulate the permeability of the particle, said particle further incorporating a species to be targeted to the cell which is activated on said modulation of permeability. The particles may be used in methods for detecting cells, methods of treating cells and also therapeutically.

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EE	Estonia	LR	Liberia	SG	Singapore		

January 3 WO 99/20252

CLAIMS

- 1. A lipid vesicle particle capable of being targeted to a cell type of interest, said particle incorporating a peptide which is responsive to a predetermined metabolic signal from the targeted cell so as to modulate the permeability of the particle, said particle further incorporating a species to be targeted to the cell which is activated on said modulation of permeability.
- 2. The particle according to claim 1, wherein the particle has an outer lipid bilayer and the metabolic signal modulates the permeability of the lipid bilayer.
- 3. The particle according to claim 1 or claim 2 wherein the particle is a liposome.
- 4. The particle according to any preceding claim wherein the peptide is a cytolytic agent.
- 5. The particle according to claim 4 wherein the peptide is N. Myristic-GALA.
- 6. The particle according to any one of claims 1 3 wherein the peptide is one selected from the group consisting of Aerolysin, Amphotericin B, Aspergillus haemolysin, Alamethicin, A-23187 (Calcium ionophore), Apolipoproteins, ATP Translocase, Cereolysin, Colicins. Direct lytic factors from animal venoms, Diptheria toxin, Filipin, GALA, Gramicidin, Helical erythrocyte lysing peptide (HELP), Hemolysins, Ionomycin, KALA, LAGA, Listeriolysin, Melittin, Metridiolysin, Nigericin, Nystatin, P25, Phospholipases, Polyene Antibiotics, Polymixin B, Saponin, Staphytlococcus aureus toxins $(\alpha,\beta,\chi,\delta)$, Streptolysin O, Streptolysin S, Synexin, Surfactin, Tubulin, Valinomycin and Vibriolsin.
- 7. The particle according to any preceding claim wherein the particle incorporates a species which amplifies the metabolic signal from the cell.

- 8. The particle according to claim 7, wherein the amplifying species is an enzyme.
- 9. The particle according to claim 8, wherein the enzyme is alkaline phosphatase, β-Galactosidase or asparaginase, or glucose oxidase.
- 10. The particle according to claim 7, wherein the amplifying species is a co-factor or substrate for an enzyme.
- 11. The particle according to any preceding claim wherein the particle comprises an antibody for targeting to an antigen on a cell.
- 12. The particle according to any preceding claim wherein the particle further comprises a binding moiety for binding to other particles.
- 13. A collection of particles according to any preceding claim wherein a portion of said particles have a first binding moiety and a further portion have a second binding moiety which is capable of binding with said first binding moiety whereby said particles are, or are capable of being, aggregated together.
- A collection of particles according to claim 13 wherein the first binding moiety is avidin or a derivative thereof and the second binding moiety is biotin or a derivative thereof.
- An aggregate comprising a collection of particles according to claim 13 or claim 14.
- 16. An aggregate comprising a plurality of lipid vesicle particles according to any one of claims 1 12 wherein a portion of said particles have a first binding moiety and a further portion have a second binding moiety capable of binding with said first binding moiety whereby said particles are aggregated together.

- 17. A method of detecting cells which are present or potentially present in a sample comprising treating the sample with particles capable of being targeted to a cell type of interest, said particles incorporating a species that is directly or indirectly detectable when activated in response to a predetermined metabolic signal from the targeted cell, and monitoring for directly or indirectly the species.
- 18. The method according to claim 15 wherein the particles are according to any one of claims 1 12 or an aggregate of particles according to claim 15 or claim 16.
- 19. The method according to claim 17 or claim 18 wherein the cells to be detected are pathogenic cells.
- 20. The method according to claim 19 for analysing foodstuff for the presence of pathogenic cells.
- 21. The method according to claim 19 for analysing water samples for the presence of pathogenic cells.
- 22. The method according to claim 19 for detecting the presence of pathogenic cells in the human or animal body.
- 23. A method of treating cells comprising treating a cell requiring treatment a particle which incorporates a species which modulates cell activity when activated in response to a predetermined metabolic signal from the cells
- 24. The method according to claim 23 wherein the particle is a particle according to any one of claims 1 12 or an aggregate of particles according to claim 15 or claim 16.
- 25. The method according to claim 23 or claim 24 for treatment of pathogenic cells.

- 26. The method according to claim 25, wherein the treatment is the removal of pathogenic cells from a water source.
- 27. The method according to any one of claims 17 26 wherein the cell is a bacterium.
- 28. A particle capable of being targeted to a cell that incorporates a therapeutically effective amount of a species which is activated in response to a predetermined metabolic signal from a cell, for use in the treatment of medical conditions.
- 29. The method according to claim 28 wherein the particle is a particle according to any one of claims 1 12 or an aggregate of particles according to claim 15 or claim 16.
- 30. The use of a particle according to claim 29 for the treatment of cancer.
- 31. The use of a particle according to claim 29 for the treatment of microbial infections.